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Document Revision History

No	Review Date	Effective Date	Description of Revision	Endorsed	Approved
1.	-	1 Sep 2024	First formal issuance of the Risk Management Policy	ESG & Risk Committee	Board of Directors
2.	10 Nov 2025	1 Sep 2024	Reviewed with no revisions required	ESG & Risk Committee	Board of Directors



Risk Management Policy

Rockworth Public Company Limited recognizes the importance of effective corporate management in driving sustainable growth and expanding the business with financial stability. The company is committed to delivering appropriate returns to shareholders while adhering to the principles of Good Corporate Governance and ensuring a proper system of checks and balances. In the current competitive business environment, where external and internal factors are constantly shifting, the company remains vigilant in adapting to changes that may impact its ability to achieve its key objectives and fulfill its mission.

The Board of Directors and the management of the Company have resolved to implement a risk management system in the Company's operations to enhance confidence among shareholders and all stakeholders. This system aims to ensure the continuity of operations, the achievement of objectives, and the creation of added value for the Company. The following outlines the Company's definition, objectives, policies, and framework for risk management:

Risk Definition and Risk Management

Risk:

Refers to the possibility of uncertain events or circumstances that may cause current plans or operations to fail in achieving the set objectives or goals. Such risks may result in negative impacts on the organization, including financial consequences or damage to the organization's image and reputation.

Risk Management:

Refers to the process undertaken by the Board of Directors, management, and all personnel within the organization to assist in strategic planning and operations. The risk management process is designed to identify potential events that may impact the organization and to manage risks to an acceptable level. This ensures reasonable assurance in achieving the objectives set by the organization.



Objectives of Risk Management:

1. To implement a standardized global risk management system across the entire organization and integrate risk management into all decision-making, strategy formulation, planning, and operational processes.
2. To establish measures and guidelines for risk management at an acceptable organizational level, considering measures that effectively reduce the likelihood and/or impact of potential risks. This is aimed at facilitating the achievement of organizational objectives at both the organizational and departmental levels.
3. To identify risks and mitigate their potential impact by responding effectively to unforeseen crises and minimizing losses or damage to the organization.
4. To provide the risk management committee, the board of directors, the risk policy committee, and the risk management committee with comprehensive risk information to enable effective oversight of risk management. This includes information on significant risks, risk trends, and the overall risk profile.
5. To establish a robust risk management framework that requires all departments to continuously identify, assess, and manage significant risks, particularly those associated with important events, activities, processes, or projects, and organizational changes. Risk management measures should be aligned with the organization's risk appetite and cost-effective.
6. To ensure consistent communication and knowledge transfer on risk management to employees, fostering a culture where employees understand, are aware of, and take ownership of risks within their respective responsibilities, thereby promoting collaborative risk management.
7. To adhere to good corporate governance principles and ensure a system of checks and balances, Rockworth has clearly defined the risk management responsibilities of management. The risk management unit supports management's efforts and monitors compliance with the risk management policy. Additionally, the internal audit function provides assurance that risk management is being conducted effectively.



Risk Management Policy

Recognizing the importance and necessity of implementing a world-class risk management system, the company aims to achieve its objectives efficiently and effectively. To ensure compliance with relevant laws and standards and maintain an acceptable level of overall risk, this risk management policy is established as follows:

1. Risk management is the responsibility of all employees at all levels. Each employee must be aware of the risks associated with their work and the organization as a whole. Various risks should be managed and controlled within a systematic internal control framework to an appropriate level.
2. The company will implement a corporate risk management process aligned with international best practices. This will ensure effective management of risks that may impact the company's operations, foster a consistent approach to risk management across the organization, and integrate risk management into decision-making, strategic planning, and operations. The ultimate goal is to achieve the company's objectives, vision, mission, and strategies while enhancing operational excellence and stakeholder confidence.
3. The company has established preventive and mitigating measures to address operational risks and avoid potential losses. Regular monitoring and evaluation of the risk management process are conducted.
4. The company will promote and develop the use of advanced information technology in its risk management processes. All employees will have access to up-to-date risk management information, and an efficient reporting system will be established to keep the Risk Management Committee informed.

Risk management framework

The company has integrated strategy development, business objectives, and performance measurement with enterprise risk management. This integration is guided by four interconnected principles that aim to create additional value for the organization as follows:

1. Governance & Culture :

The company has a well-defined organizational structure and clearly delineated responsibilities for risk management. A corporate culture that emphasizes risk awareness and its potential impact on business operations has been fostered.

2. Strategy & Objective-Setting :

The company's strategic planning process is integrated with risk management principles. Strategies and business objectives are aligned with the organization's risk appetite.

3. Performance :

The company identifies, assesses, and prioritizes risks that could impact the achievement of its strategic objectives. Risks are ranked based on the severity of their potential impact and the likelihood of occurrence. Appropriate risk response strategies are then developed and implemented.

4. Review and Revision :

The company conducts regular reviews of its risk management performance to assess its effectiveness. The risk management framework is subject to ongoing review and improvement.

Risk Management Policy shall be effective as of September 1, 2024.



Mr. Chatchawal Pongsuthimanus
Chairman
Rockworth Public Company Limited